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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/800,731	03/16/2004	Jimmy S. Wong	58268.00302	1038
32294 7590 07/22/2008 SQUIRE, SANDERS & DEMPSEY L.L.P. 8000 TOWERS CRESCENT DRIVE 14TH FLOOR VIENNA, VA 22182-6212				
EXAMINER NGUYEN, ANH NGOC M				
ART UNIT		PAPER NUMBER		
2616				
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07/22/2008		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/800,731

**Applicant(s)**

WONG, JIMMY S.

**Examiner**

Anh Ngoc Nguyen

**Art Unit**

2616

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 16 March 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 03/16/2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/CDC)
- Paper No(s)/Mail Date \_\_\_\_\_

- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

***Response to Amendment***

Applicants' Arguments/Remarks dated 05/29/2008 have been considered but are not persuasive. Claims 1 – 18 are pending.

***Response to Arguments***

Applicants' allege (page 13 of Applicants' Arguments/Remarks) that Brown does not disclose any type of copy count value determination and no discussion of a copy count value is disclosed.

Examiner respectfully disagrees. Brown teaches upon receiving a copy of an IP Multicast data packet from memory, the egress port forwarding logic modifies the copy of the IP Multicast data packet dependent on a modification entry associated with the egress port to which the IP Multicast data packet is to be forwarded (see col. 1 lines 50 – 54). Plus, the MFI 132 stored in the ingress modified IP Multicast data packet 126 is used to access a stored modification entry associated with each member of the IP Multicast group. The egress Multicast forwarding logic 118 modifies a copy of the ingress modified IP Multicast data packet 126 received from memory 116 using the contents of the stored modification entry for the member and forwards an egress modified IP Multicast data packet 112 to each member of the IP Multicast group (see col. 3 lines 40 – 47). The modification entry is the copy count value. Plus, Roy teaches a count of the number of cells in the queue (see col. 2 lines 58 - 62) and a five bit counter (see col. 2 line 64).

Applicants allege, page 12 of Applicants' Arguments/Remarks, that Brown fails to disclose "performing a lookup of a replicate count table to determine a copy count value and

writing the copy count value to a copy count register...sending the outgoing datagram to the egress port from the main memory along with the copy count value...changing the copy count value in the copy count register...modifying a VLAN identifier of the outgoing datagram if necessary based on the copy count value."

Examiner respectfully disagrees. See Office Action dated 04/11/2008, page 2 and page 3 show the mapping of Brown as a reference to the claimed limitations above. Brown teaches ...to determine if all egress modified IP multicast data packets 112a-f have been forwarded, the number of port queues that the ingress modified IP Multicast data packet 126 has been queued on associated with each ingress modified IP Multicast data packet 126 is stored in a port queue counter register (see col. 7 lines 9 - 22). This reads on "performing a lookup of a replicate count table to determine a copy count value and writing the copy count value to a copy count register."

Further, the time to process the IP Multicast data packet in the switch is increased because the switch must determine to which egress ports the IP Multicast data packet is to be forwarded, and the number of copies to forward to each egress port (see col. 1 lines 23 - 27). A copy of an IP Multicast data packet received at an ingress port of a networking switch is forwarded at wire speed to an egress port. The IP Multicast data packet received at the ingress port is stored in memory (see col. 1 lines 40 - 45). Plus, this reads on "sending the outgoing datagram to the egress port from the main memory along with the copy count value."

Brown teaches ... the counter register is decremented each time all egress modified IP Multicast data packets 112 associated with an egress port 110 have been forwarded to the egress port 110 (see col. 7 lines 14 - 22). Therefore, this reads on "changing the copy count value in the copy count register."

Brown teaches the modification entry may include an identification for a Virtual LAN (see col. 1 lines 65 – 67 col. 2 lines 1 – 10)...the pointer to a next modification entry is an index to a next modification entry for modifying the next IP Multicast data packet for the port...VLAN identification table (see col. 2 lines 1 - 10)...port queue counter register for modifying IP Multicast data packet associated with VLAN (see col. 7 lines 10 - 22). Further ...each subnet that requires a copy of the packet will receive the packet with its VLAN ID included in the packet (see abstract) and ...the editor logic 600 adds or removes the VLAN ID 318 (see col. 12 lines 55 - 60). Therefore, Brown shows the limitation of “modifying a VLAN identifier of the outgoing datagram if necessary base on the copy count value.”

Brown shows in Figure 1, a data packet processing logic 128 connecting to a memory unit 116. However, Brown did not particularly show "a memory management unit," therefore Roy showed such a limitation was obvious.

Concerning the applicants' arguments on the dependent claims, Brown, Roy and Headrick showed the limitations singularly or in combination of a system and it were shown in combination to cover those limitations.

As a result the argued features are shown by the cited references as follows:

#### **DETAILED ACTION**

#### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 3 – 8, 10 – 15, and 17 - 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brown (US 6,754,211) in view of Roy et al (US 6,246,682).

Brown discloses method and apparatus for wire speed IP multicast forwarding comprising the following features:

Regarding claim 1, Brown discloses a method of replicating multicast datagrams in a network device (see Fig. 1, switch), said method comprising: determining whether a scheduled outgoing datagram (see Fig. 1 and col. 3 lines 20 – 32, MFI corresponding to the IP multicast group to which the IP multicast data packet is to be forwarded) stored in a main memory is a multicast (MC) packet (see col. 4 lines 31 – 35, identifying the received IP multicast data packet); when the scheduled outgoing datagram type is the MC datagram (see col. 2 lines 52 – 62 and col. 4 lines 31 – 35 and col. 8 lines 29 - 34); performing a lookup of a replicate count table to determine a copy count value (see col. 7 lines 9 - 22, port queue count) and writing the copy count value to a copy count register (see col. 7 lines 14 – 16, stored in a port queue counter register); awaiting a ready signal from an egress port of the network switch (see Fig. 1, Fig. 4 and col. 6 lines 31 – 40, egress multicast forwarding logic waiting signal from forward vector); sending the outgoing datagram to the egress port from the main memory along with the copy count value (see col. 1 lines 25 – 28 lines 40 - 45, forwarding IP multicast data packet and the number of copies); changing the copy count value in the copy count register (see col. 7 lines 19 – 21, port queue count is decremented therefore changing the value); modifying a VLAN identifier of the outgoing datagram (see col. 1 lines 65 – 67 col. 2 lines 1 – 10, modification entry includes an id for a Virtual LAN) if necessary based on the copy count value (see col. 7

lines 9 – 22, counter register); and forwarding the outgoing datagram from the egress port (see col. 6 lines 14 – 21, forwarding through the egress port).

Regarding claims 5 and 12, Brown discloses wherein the sending the outgoing datagram comprises: reading a first portion of the datagram from the main memory (see Fig. 1, memory 116); sending the first portion, along with the copy count value and the pointer (see col. 1 lines 25 – 28 lines 40 - 45, forwarding IP multicast data packet and the number of copies), to the egress port; continuing to read and send subsequent portions of the datagram until a last portion is read (see Fig. 1 and col. 4 lines 45 - 63, data-out 124 from memory 116); and decrementing the copy count value in the copy count register (see col. 7 lines 19 – 21, port queue count is decremented therefore changing the value).

Regarding claims 6 and 13, Brown discloses wherein the modifying the VLAN identifier of the outgoing datagram comprises accessing a VLAN ID table (see Fig. 7 VLAN ID table 702) using the pointer as an index to obtain a new VLAN identifier (see Fig. 3B and col. 12 lines 12 – 26 lines 55 - 60, VLAN ID in a packet).

Regarding claims 7 and 14, Brown discloses wherein the new VLAN identifier (see Fig. 3B, VLAN ID in a packet) is obtained from a bit value in an entry in the VLAN ID table (see Fig. 7 VLAN ID table 702) provided by the pointer (see col. 12 lines 12 – 39, an index to a VLAN ID entry), where the bit value is equal to the copy count value (see col. 7 lines 9 – 22, counter register).

Regarding claim 8, Brown discloses a network device for handling datagrams in a network (see Fig. 1, switch), comprising: a main memory (see Fig. 1, memory 116); determining means for determining whether a scheduled outgoing datagram stored in the main

memory is a multicast (MC) datagram (see col. 4 lines 31 – 35, identifying the received IP multicast data packet); performing means for performing a lookup of a replicate count table to determine a copy count value (see col. 7 lines 9 - 22, port queue count) and writing the copy count value to a copy count register (see col. 7 lines 14 – 16, stored in a port queue counter register); awaiting means for awaiting a ready signal from an egress port of the network switch (see Fig. 1, Fig. 4 and col. 6 lines 31 – 40, egress multicast forwarding logic waiting signal from forward vector); sending means for sending the outgoing datagram to the egress port from the main memory along with the copy count value (see col. 1 lines 25 – 28 lines 40 - 45, forwarding IP multicast data packet and the number of copies); changing means for changing the copy count value in the copy count register (see col. 7 lines 19 – 21, port queue count is decremented therefore changing the value); modifying a VLAN identifier of the outgoing datagram (see col. 1 lines 65 – 67 col. 2 lines 1 – 10, modification entry includes an id for a Virtual LAN) if necessary based on the copy count value (see col. 7 lines 9 – 22, counter register); and forwarding means for forwarding the outgoing datagram from the egress port (see col. 6 lines 14 – 21, forwarding through the egress port) wherein the performing, awaiting, sending, changing, modifying and forwarding means are configured to be activated when the scheduled outgoing datagram type is the MC datagram (see abstract, IP multicast packet).

Regarding claim 15, Brown discloses a network device for handling datagrams (see Fig. 1, switch), comprising: at least one data port interface, said at least one data port interface supporting a plurality of data ports transmitting and receiving datagrams (see Fig. 1, port 108, port 1, port 2...); in communication with said at least one data port interface; and a main memory (see Fig. 1, memory 116 coupled to ports 108, 1, 2, ....), said main memory



communicating with said at least one data port interface (see Fig. 1, memory 116 coupled to ports 108, 1, 2, ...), configured to determine whether a scheduled outgoing datagram stored in the main memory is a multicast (MC) datagram (see col. 4 lines 31 – 35, identifying the received IP multicast data packet); wherein when the scheduled outgoing datagram is of a type that is the MC datagram (see col. 2 lines 52 – 62 and col. 4 lines 31 – 35 and col. 8 lines 29 – 34), configured to perform a lookup of a replicate count table to determine a copy count value (see col. 7 lines 9 – 22, port queue count), configured to write the copy count value to a copy count register (see col. 7 lines 14 – 16, stored in a port queue counter register); configured to send the outgoing datagram to the egress port from the main memory along with the copy count value (see col. 1 lines 25 – 28 lines 40 – 45, forwarding IP multicast data packet and the number of copies), configured to change the copy count value in the copy count register (see col. 7 lines 19 – 21, port queue count is decremented therefore changing the value); and wherein the at least one data port interface is configured to modify a VLAN identifier of the outgoing datagram (see col. 1 lines 65 – 67 col. 2 lines 1 – 10, modification entry includes an id for a Virtual LAN) if necessary based on the copy count value (see col. 7 lines 9 – 22, counter register) and configured to forward the outgoing datagram from the egress port (see col. 6 lines 14 – 21, forwarding through the egress port).

Brown discloses the claimed limitations as stated above. Brown does not specifically disclose regarding claims 1 and 8, determining by a memory management unit; regarding claims 3 and 10, further comprising steps of waiting until the copy count value in the copy count register is zero and releasing a pointer to a memory location of the outgoing datagram in the main memory; regarding claims 4 and 11, wherein the step of performing the lookup of the

replicate count table comprises determining a pointer based on the group number and using that pointer as an index for the replicate count table to perform the lookup; regarding claim 15, a memory management unit, controlling by the memory management unit; regarding claim 17, wherein the memory management unit is configured to wait until the copy count value in the copy count register is zero before releasing a pointer to a memory location of the outgoing datagram in the main memory; regarding claim 18, wherein the memory management unit is configured to determine a pointer based on a group number and configured to implement that pointer as an index for the replicate count table to perform the lookup.

Roy discloses method and apparatus for managing multiple ATM cell queues comprising the following features:

Regarding claims 1 and 8, Roy discloses determining by a memory management unit (see abstract, management memory).

Regarding claims 3 and 10, Roy discloses further comprising waiting until the copy count value in the copy count register is zero (see abstract, reduced to zero) and releasing a pointer to a memory location of the outgoing datagram in the main memory (see abstract and col. 3 lines 20 - 33, added to the free list).

Regarding claims 4 and 11, Roy discloses wherein the performing the lookup of the replicate count table comprises determining a pointer based on the group number (see col. 2 lines 58 - 67, a count of the number cells in the queue) and using that pointer as an index for the replicate count table to perform the lookup (see col. 3 lines 1 - 12 and col. 4 lines 55 - 67, pointer is provided for each data element).

Regarding claim 15, Roy discloses a memory management unit (see abstract, management memory), controlling by the memory management unit (col. 3 lines 13 – 35 and col. 4 lines 39 – 43, a management RAM for managing the shared RAM).

Regarding claim 17, Roy discloses wherein the memory management unit is configured to wait until the copy count value in the copy count register is zero (see abstract, reduced to zero) before releasing a pointer to a memory location of the outgoing datagram in the main memory (see abstract and col. 3 lines 20 - 33, added to the free list).

Regarding claim 18, Roy discloses wherein the memory management unit is configured to determine a pointer based on a group number (see col. 2 lines 58 – 67, a count of the number cells in the queue) and configured to implement that pointer as an index for the replicate count table to perform the lookup (see col. 3 lines 1 – 12 and col. 4 lines 55 – 67, pointer is provided for each data element).

It would have been obvious to one ordinary skilled in the art at the time the invention was made to modify the invention of Brown, and use a management memory, as taught by Roy, thus providing for an efficient data storage, as discussed by Roy (see col. 2 lines 6 - 20).

3. Claims 2, 9 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brown (US 6,754,211) in view of Roy et al (US 6,246,682) and further in view of Headrick et al (5,724,358).

Brown and Roy disclose the claimed limitations as stated in paragraph 2. Brown and Roy do not specifically disclose the following features: regarding claim 2, wherein the method performed by the memory management unit is suspended based on a presence of a higher-priority outgoing datagram; regarding claim 16, wherein the memory management unit is

configured to suspend the replication of the outgoing datagram based on a presence of a higher-priority outgoing datagram.

Headrick discloses high speed packet switched digital switch and method comprising the following features:

Regarding claims 2 and 9, Headrick discloses wherein the method performed by the memory management unit is suspended based on a presence of a higher-priority outgoing datagram (see col. 2 lines 30 - 40, accepting or rejecting a data packet per priority level basis).

Regarding claim 16, Headrick discloses wherein the memory management unit is configured to suspend the replication of the outgoing datagram based on a presence of a higher-priority outgoing datagram (see col. 2 lines 30 - 40, accepting or rejecting a data packet per priority level basis).

It would have been obvious to one ordinary skilled in the art at the time the invention was made to modify the invention of Brown and Roy, and determine priority, as taught by Headrick, thus providing for an efficient high speed packet switched digital switch, as discussed by Headrick (see col. 2 lines 10 - 15).

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anh Ngoc Nguyen whose telephone number is (571) 270-5139. The examiner can normally be reached on M - F, from 7AM to 3PM (alternate first Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kwang Yao can be reached on 5712723182. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Art Unit: 2616

/Kwang B. Yao/

Supervisory Patent Examiner, Art Unit 2616